

United States Patent Application

Title of the Invention

METHOD OF TRANSMITTING PACKETS AND  
APPARATUS OF TRANSMITTING PACKETS

Inventors

Koji WAKAYAMA,  
Norihiko MORIWAKI.

TITLE OF THE INVENTION

METHOD OF TRANSMITTING PACKETS AND APPARATUS OF  
TRANSMITTING PACKETS

5 BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates to an apparatus of transmitting packets, and more particularly to a statistics information collection method and a load balancing method.

10 Description of Related Art

Due to advanced communication service using Internet such as electronic commerce and video distribution, an increase in an amount of traffic and speedup of communication speed have been rapidly advancing. In keeping  
15 with these, the throughput of communication apparatuses such as routers and servers within the network has been becoming further higher. For this reason, it has become a pressing need to improve the throughput of the communication apparatuses. In, for example, the WWW (World Wide Web)  
20 service, access to specified servers has increased, and in order to cover the processing with a single server, there arise cases where the capacity is insufficient.

Also, in order to implement Virtual Private Network (VPN) service using Internet, it is necessary to perform  
25 higher layer processing to be performed in units of packets

to be transmitted and received in conformity with the communication speed. As the higher layer processing, there are named encryption, firewall processing and the like.

As regards the packet transfer method, there have been  
5 proposed various methods. There are, for example, transfer using Ethernet (registered trademark) frame stipulated by IEEE802.3, transfer using Tag VLAN stipulated by IEEE802.1Q, transfer using MPLS (Multi-Protocol Label Switching) stipulated by IETF RFC3032, and transfer using  
10 RPR (Resilient Packet Ring) that is being standardized by the IEEE802.17 committee. These are all packet transfer methods using Ethernet, and in addition, there are various packet transfer methods depending upon the communication method.

15 As one of techniques for improving the processing capacity of the communication apparatus, there is a load balancing technique. The load balancing technique is a method of reducing the throughput of each single apparatus and improving the processing capacity as a whole by  
20 providing a plurality of apparatuses, each having the same function and allotting a portion of the processing to each among these apparatuses.

Through the use of the load balancing technique, it becomes possible to improve fault tolerance in addition to  
25 the improvement of the processing capacity. In other words,

even when one of those apparatuses suffers a breakdown, the remaining apparatuses take over the processing for thereby making it possible to continue the operation.

The load balancing is divided into load balancing  
5 aimed at distributing the throughput within the apparatus and load balancing aimed at distributing the throughput of other apparatuses (for example, server) connected to the apparatus.

In order to implement the load balancing, it is  
10 necessary to properly allocate packets to a plurality of apparatuses targeted for the load balancing for transferring.

As a first method for implementing allocation of packets, there is a method using Round Robin. The method  
15 using Round Robin is a method for allocating the packets to apparatuses different from one another in the order received.

As a second method for implementing allocation of packets, there is a method of allocating packets in  
20 accordance with a hash value in the header information. The method using the hash value is a method to determine an allocation target of the packet in accordance with a value (hash value) obtained by calculating a hash function with header information representing a flow, for example, a pair

of a source IP address and destination IP address of the packet as an argument.

As a third method for implementing allocation of packets, there is a method using a filtering table. The method using the filtering table is a method to prepare for a flow and a table for bringing a packet of the flow into correspondence with the allocation target in advance, and to determine the allocation target of the packet by retrieving the table with information representing the flow indicated on the packet header as the search key on receipt of the packet.

In the packet allocation using the round robin, it is possible to comparatively uniformly distribute the packets to the allocation targets because they are allocated in the order of arrival of the packets. Since, however, there may occur a difference in time required for processing such as delay time due to packet transfer, the problem is that the order of packets which an apparatus targeted for the load balancing has received may be different from the order of packets which the aforementioned load balancing target transmits in the same flow (for example, a pair of source address and destination address) which poses a problem. As a method of solving the aforementioned problem, there is a method in which a sequence number is imparted to an internal header to be imparted to a packet when the packet is

received, and the packet is transmitted in the order of the sequence number when the packet is transmitted. When, however, a number of flows increases, processing of sequence number management becomes complicated, which poses a  
5 problem.

In the method using the hash value, since the hash value becomes the same in the same flow, the packets are to be transmitted in the order of the packets received in the same flow. When there are many specified flows, however, the  
10 method using the hash value has a problem that the packets may not always be uniformly allocated.

In the method using the filtering table, it is necessary to register a flow in advance. Also, with fluctuations in traffic pattern for each flow, there is a  
15 possibility that the packet allocation targets are one-sided, which poses a problem.

#### SUMMARY OF THE INVENTION

It is thus an object of the present invention to  
20 implement uniform allocation in response to the actual traffic conditions.

In the present invention, in order to implement uniform allocation in proportion to actual traffic conditions, statistics information on an amount of packets  
25 will be utilized. The traffic conditions of the apparatus

can be grasped by counting the amount of packets which pass through an interface. Also, when transferring the packets within the apparatus, the statistics information can be collected by adding up a number of packets and a number of  
5 bytes, and recording header information imparted to the packets.

Also, since a format of header information imparted to the packet differs with the packet transfer method, it becomes necessary to perform statistics information  
10 collecting processing depending on the packet transfer method in the router. Further, items of the statistics information to be collected differ with the portion applied and the like of the apparatus. Therefore, in order to collect statistics information corresponding to diverse packet  
15 transfer methods, processing in the router becomes complicated, which poses a problem.

Thus, according to the present invention, there is provided a functional module for the exclusive use aimed at collecting statistics information. A line interface for  
20 transmitting and receiving packets transfers header information imparted to the packet to the functional module for collecting the statistics information. Thereby, the packet transfer processing and the statistics information collecting processing are performed by an independent  
25 functional module.

By transferring packets in this manner, it becomes possible to implement the statistics information collecting processing corresponding to diverse packet transfer methods, and to implement a high-speed, and high-functional apparatus of transmitting packets.

Specifically, within the apparatus of transmitting packets, there are provided means for performing load balancing of packets, means for counting packets which pass through the interface, and a statistics information collecting processor for performing statistical processing of packets counted to predict an amount of packets to be received by the interface. The load balancing means performs load balancing control on the basis of traffic of interface predicted.

15

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a view showing an example of the structure of an apparatus of transmitting packets according to the present invention;

20 FIG. 2 is a view showing an example of the structure of a line card in an apparatus of transmitting packets according to the present invention;

FIG. 3 is a view showing an example of the structure of a search table to be provided in a line card in an



apparatus of transmitting packets according to the present invention;

FIG. 4 is a view showing an example of the structure of a statistics information collecting processor in an apparatus of transmitting packets according to the present invention;

FIG. 5 is a view showing an example of the structure of a statistics table in an apparatus of transmitting packets according to the present invention;

FIG. 6 is a view showing an example of the structure of a network to which the apparatus of transmitting packets according to the present invention is applied;

FIG. 7 is a view showing an example of a format when a packet is transferred, in the apparatus of transmitting packets according to the present invention, from a line card through a switch within the apparatus;

FIG. 8 is a view showing an example of a frame format when header information of the packet which the line card receives is transferred, in the apparatus of transmitting packets according to the present invention, from the line card to the statistics information collecting processor;

FIG. 9 is a view showing an example of a frame format when header information of the packet which the line card receives is transferred, in the apparatus of transmitting

packets according to the present invention, from the line card to the statistics information collecting processor;

FIG. 10 is a flowchart showing an example of the procedure when header information of the packet which the line card receives is, in the line card of the apparatus of transmitting packets according to the present invention, transferred to the statistics information collecting processor;

FIG. 11 is a flowchart showing an example of the procedure of collecting statistics information in the statistics information collecting processor of the apparatus of transmitting packets according to the present invention;

FIG. 12 is a view showing a format of an Ethernet header;

FIG. 13 is a view showing formats of an IP header and a TCP header;

FIG. 14 is a view showing a VLAN frame format;

FIG. 15 is a view showing a frame format of MPLS using Ethernet;

FIG. 16 is a view showing a header format of RPR;

FIG. 17 is a flowchart showing an example of a renewal procedure of the search table in the statistics information collecting processor according to the present invention;

FIG. 18 is a flowchart showing an example of a procedure of determining an allocation target of load balancing in the statistics information collecting processor according to the present invention;

5        FIG. 19 is a view showing an example of the structure of the line card in an apparatus of transmitting packets according to the present invention;

FIG. 20 is a view showing an example of the structure of a control processor in an apparatus of transmitting  
10        packets according to the present invention;

FIG. 21 is a view showing an example of load balancing in an apparatus of transmitting packets according to the present invention;

FIG. 22 is a view showing an example of a method of  
15        transferring header information in an apparatus of transmitting packets according to the present invention;

FIG. 23 is a view showing an example of a method of distributing a search table in an apparatus of transmitting packets according to the present invention;

20        FIG. 24 is a view showing an example of the structure of the statistics table in an apparatus of transmitting packets according to the present invention; and

FIG. 25 is a view for explaining an example of a method of calculating a predicted value of a number of packets in

the future in the apparatus of transmitting packets according to the present invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

5           Hereinafter, with reference to the drawings, the description will be made of embodiments of the invention.

FIG. 6 shows an example of the network in which the apparatuses of transmitting packets according to the present invention.

10           In the example of FIG. 6, networks 100-1 to 100-11 are constructed of the apparatuses of transmitting packets 1-1 to 1-16 and servers 2-1 to 2-4. In the networks 100-1 and 100-2, packets are transferred by RPR. In the network 100-3, packets are transferred by Ethernet. In the networks 100-4, 15 100-5 and 100-8, packets are transferred by VLAN. In the networks 100-6 and 100-9, packets are transferred by ATM (Asynchronous Transfer Mode). In the networks 100-7, 100-10 and 100-11, packets are transferred by MPLS.

FIG. 1 shows an example of the structure of the  
20           apparatus of transmitting packets according to the present invention. The apparatus of transmitting packets 1 is constructed of: a line card 11 for termination of lines and packet transfer processing; a switch 12 for switching the packet; a control processor 13 for controlling the  
25           apparatus; an extension function processor 14 for

performing higher layer processing such as encryption processing; a statistics information collecting processor 15 for collecting statistics information; and an internal bus 16 for connecting a control processor 13 to the line card 11, the switch 12 and the extension function processor 14, for transferring signals for controlling within the apparatus.

As a method of transferring signals to be transferred between the line card 11 and the statistics information collecting processor 15, there is named a method of going through the switch 12 or a method of going through the bus 16. Which method is more appropriate to be used differs with switching capacity of the switch 12, transfer capacity of the bus 16, or an amount of information and the like of control messages within the apparatus. Since, however, the control signals flow without going through the switch by transferring the signals through the bus, it is possible to prevent the switching capability due to transfer of control signals from being deteriorated even when traffic of packets to be transmitted and received by the apparatus of transmitting packets 1 is in an overloaded state.

With reference to FIG. 1, the description will be made of a flow of packet processing in the apparatus of transmitting packets 1. The line card 11 retrieves header information of the packet received to thereby determine to

which line card 11 or extension function processor 14 within the apparatus of transmitting packets the packet should be transferred, and imparts an internal header indicating the destination of the packet to the packet to transfer to the switch 12. By referring to the information of the internal header imparted to the packet, the switch 12 switches the packet to the appropriate line card 11 or extension function processor 14. The line card 11 encapsulates the packet switched by the switch 12 in order to send out the packet to the line. Also, when the packet is switched to the extension function processor 14, the extension function processor 14 performs higher layer processing such as the encryption processing to the packet.

A first example of the load balancing processing that the apparatus of transmitting packets according to the present invention performs is the processing in which the packets 30-1 to 30-m are allocated to the extension function processors 14-1 to 14-m respectively from the line card 11-1 because the processing load is distributed to the extension function processors 14-1 to 14-m when performing the higher layer processing to such packets received by the line card 11-1 as shown in FIG. 21. In the present embodiment, the packet is received, the destination of the packet will be determined on the basis of the destination address imparted to the received packet to transmit the packet. Such

processing is defined as basic packet transfer processing. The processing to be taken up by an upper layer than the layer to be taken up by the basic packet transfer processing is defined as a higher layer processing.

5           A second example of the load balancing processing that the apparatus of transmitting packets according to the present invention performs is a packet allocation processing to a server 2-1 or server 2-2 by the apparatus of transmitting packets 1-7 of FIG. 6, or packet allocation  
10       processing to a server 2-3 or server 2-4 by the apparatus of transmitting packets 1-8. In the second example of the load balancing processing, this is synonymous with processing of allocating packets to the line card connected to the server.

15           FIG. 2 shows an example of the structure of the line card 11 in the apparatus of transmitting packets shown in FIG. 1.

          The line card 11 is constructed of: a layer 1 receiver 112 for termination of a physical layer of a received packet;  
20       a layer 1 transmitter 113 for termination of a physical layer of a transmitted packet; a received packet buffer 114 for buffering the received packet; a transmitted packet buffer 115 for buffering the transmitted packet; a packet  
          processing engine 116 for performing determination of the  
25       destination of the packet and encapsulation processing of

the packet, that is, imparting a header to the packet during transferring; a search table 117 in which the packet processing engine 116 stores header information imparted to the packet and information concerning correspondence  
5 relationship of processing of the packet; a switch interface 118, which is an interface with the switch; a control processor interface 119, which is a communication interface with the control processor; a header buffer 120 for storing header information that is imparted to the  
10 transmitted and received packets; a processor 121; and a memory 122. The search table 117 may be stored in the memory 122.

With reference to FIG. 2, the description will be made of a method of processing a received packet in the line card  
15 11. In the layer 1 receiver 112, the physical layer processing such as optical-electrical transformation is performed to buffer the packet by the received packet buffer 114. In the packet processing engine 116, the search table 117 is retrieved with header information of the received  
20 packet as a key to determine the destination of the packet and perform the header encapsulation processing. When transferring it to another line card or the extension function processor, the packet will be sent out from a switch interface 118-1 to the switch 12. Also, when receiving a  
25 packet from the switch 12 through a switch interface 118-2,



the packet will be first buffered to a transmitted packet buffer 115. Next, the packet processing engine 116 retrieves the search table 117 with information of the internal header imparted to the head of the packet as a key to perform the encapsulation processing in order to send out the packet from the line. In the layer 1 transmitter 113, the physical layer processing such as electrical-optical transformation is performed to send out the packet to the line.

Also, the packet processing engine 116 extracts the header information imparted to the packet to buffer in a header buffer 120 and to count the length of the packet at the same time.

FIG. 7 shows an example of a format when the packet is transferred from the line card 11 on the reception side to the line card 11 on the transmission side through the switch 12. The line card 11 imparts an internal header 310 for setting information required to transfer the packet within the apparatus to the head of a packet 301 to be transferred from the line card 11 on the reception side to the line card 11 on the transmission side. To the internal header 310, there is set information required to transmit the packet from the apparatus of transmitting packets 1 - that is, a line card number, a physical port number on the transmission side, a line type of the physical port on the transmission side, and packet output information 311 for

setting an IP (Internet Protocol) address of an apparatus at the destination from the apparatus of transmitting packets 1 - and information concerning the line card 11 on the reception side- that is, a line card number on the  
5 reception side, a number of the physical port which has received the packet, packet input information 312 for setting a line type of the physical port which has received the packet, a packet identifier 313 indicating a packet type such as whether the packet is an user data packet or a packet  
10 of the internal control signal, and transfer priority 314 of packets within the apparatus. Set points for the packet output information 311 and the priority 314 will be determined on the basis of the search result of the search table 117 in the line card 11.

15 FIG. 3 is a view showing an example of the structure of the search table 117. The search table 117 is provided with an entry 1173 representing the relationship of correspondence between the search key 1171 and the search result 1172. In the example of FIG. 3, a pair of source IP  
20 address of the packet and destination IP address of the packet is used as the search key.

FIG. 4 is a view showing an example of the structure of a statistics information collecting processor in an apparatus of transmitting packets according to the present  
25 invention. The statistics information collecting processor

15 is constructed of: a data buffer 151 for storing a frame  
for header transfer transferred from the line card; a header  
information analyzer 152 (means for analyzing header  
information) for performing header information analysis in  
5 order to collect statistics information; an adder 153 (means  
for counting an amount of packets) for calculating an amount  
of packets for each flow, for example, a number of packets,  
a number of bytes and a number of bits, of packets received  
by the apparatus of transmitting packets 1; a statistics  
10 table 154 for storing statistics information obtained by  
counting by the adder; a processor 155 for controlling the  
statistics information collecting processor 15 and renewing  
the table; a memory 156, which is a processor program area;  
a switch interface 157 which is an interface with the switch;  
15 a control processor interface 158, which is a communication  
interface with the control processor; and the like.

FIG. 8 shows an example of format of a frame for header  
transfer when a frame obtained by multiplexing header  
information of a plurality of packets is generated in the  
20 line card and is transferred to the statistics information  
collecting processor as an example of a method of  
transferring the header information from the line card to  
the statistics information collecting processor. The frame  
for header transfer 35 is constructed of: the internal  
25 header 310 shown in FIG. 7; and a header area 37.

The line card 11 designates the statistics information collecting processor 15 as the destination of the frame 35 through the use of the packet output information of the internal header 310.

5       The header area 37 is constructed of: an input port 371 of the packet to which the header has been imparted; a packet information processor 370 for setting length 372 of the header and length 373 of the packet to which the header has been imparted; and header information 374.

10       The length of the header information 374 may be made into fixed length irrespective of the packet transfer method in the line. Also, the length of the header information 374 may be made into variable length in accordance with the packet transfer method in the line. In one header transfer  
15 frame 35, header areas 37-1 to 37-n of N pieces of packets are stored.

As shown in the example of FIG. 8, a plurality of header areas for packets are stored in one frame for header transfer for transferring, in other words, the header  
20 information is multiplexed for being transferred, whereby it is possible to cut down overhead associated with the transfer of the header information from the line card 11 to the statistics information collecting processor 15.

With reference to FIG. 4, the description will be made of the statistics information collecting processing in the statistics information collecting processor.

The frame for header transfer 35 transferred from the  
5 line card 11 is stored in the data buffer 151 through a switch interface 157-1. The header information analyzer 152 extracts individual header areas of header areas 37-1 to 37-N within the frame of header transfer 35 from the data buffer 157-1. Further, the header information analyzer 152  
10 reads out, concerning the respective header areas 37-1 to 37-N, flow information to be collected as statistics information such as packet length set to the respective packet information processors 370-1 to 370-N, source address and destination address that have been set to the  
15 respective header information 374-1 to 374-N. The adder 153 holds a register for adding a number of packets, a number of bytes and a number of bits for each flow extracted at the header information analyzer. The number of packets, the number of bytes and the number of bits which have been  
20 written on the register of the adder 153 are written in the statistics table 154 every a fixed time period.

FIG. 5 shows an example of the structure of the statistics table 154 to be provided at the statistics information collecting processor 15. The statistics table  
25 154 is provided with an entry 1543 which is constructed of:

a field 1541 for setting a flow; and a field 1542 on which the statistics information in the flow is recorded. In the example of the statistics table 154 of FIG. 5, the source IP address and the destination IP address of the packet are  
5 made into a pair as a flow 1541. Also, as items of statistics information to be collected, there are discrimination information 15421 of an input line card of the flow; discrimination information 15422 of input physical port; total number 15423 of packets; and total number 15424 of  
10 bytes of the packets.

In this respect, in the embodiments to this point, the description has been made of one example of the structure of the statistics information collecting processor when the header information is transferred from the line card 11 to  
15 the statistics information collecting processor 15 through the switch 12 like the frame for header transfer 35-1 in FIG. 22. The header information can be also transferred from the line card 11 to the statistics information collecting processor 15 through the internal bus 16 like the frame 35-2  
20 in FIG. 22. When transferring the header information from the line card 11 to the statistics information collecting processor 15 through the internal bus 16, the statistics information collecting processor transmits and receives the header information with the internal bus 16 through the  
25 control processor interface 158.

FIG. 10 shows a flowchart of the processing in the line card 11 when transferring the header information to the statistics information collecting processor 15 through the use of the frame for header transfer 35 shown in FIG. 8.

5       When the line card 11 receives (Step 5010) a packet from the line, the packet processing engine 116 extracts the header portion of the packet buffered into a received packet buffer 114 to store in a header buffer 120 (Step 5020). The packet processing engine 116 holds a packet counter for  
10       adding a number of packets processed by the packet processing engine 116. The packet processing engine 116 increases a value  $P_n$  of the packet counter by 1 (Step 5030). At this time, it is judged whether or not the value  $P_n$  of the packet counter coincides with a predetermined value  $N$   
15       ( $N$  is an integer of 2 or higher) (Step 5040). If the value  $P_n$  of the packet counter is equal to  $N$ , the frame for header transfer 35 shown in FIG. 4 will be generated to transfer to the statistics information collecting processor 15 (Step 5050). At the same time, the value  $P_n$  of the packet counter  
20       will be reset (Step 5060) to complete the processing (Step 5070). If the value  $P_n$  of the packet counter is not equal to  $N$  in the Step 5040, the processing will be completed (Step 5070).

      In this respect, when transferring header information  
25       of the received packet from the line card 11 to the

statistics information collecting processor 15, the header information of a single packet may be transferred with one frame as shown in FIG. 9. When transferring the header information of a single packet with one frame, the  
5 processing in the Step 5050 is performed after the processing in the Step 5020 in the flowchart of FIG. 10, whereby the header information will be notified to the statistics information collecting processor 15 from the line card 11.

10 In the description to this point, there has been shown the embodiment when the processing of transferring the header information is performed for the packet received by the apparatus of transmitting packets 1. It is also possible to perform the header transferring processing for a packet  
15 to be transmitted by the apparatus of transmitting packets 1. When performing the header transferring processing for the packet to be transmitted by the apparatus of transmitting packets 1, the packet transferred from the switch 12 to the line card 11 is to be received in the Step  
20 5010 of the flowchart of FIG. 10.

The description will be made of an example of a format of the packet to be processed by the apparatus of transmitting packets 1.

FIG. 12 shows the format of an Ethernet header. The  
25 Ethernet header 600 is constructed of: a destination MAC



address 601; a source MAC address 602; and a type field 603 representing an encapsulation type.

Upper layer protocol of a packet encapsulated in the Ethernet header can be distinguished by the value of the type  
5 field 603 of the Ethernet header 600.

FIG. 13 shows a format of the IP packet encapsulated by the Ethernet header. When the Ethernet header encapsulates the IP packet, a value 800 of a hexadecimal digit is set to the type field 603 of the Ethernet header  
10 600. Thereby, it can be recognized that an IP header 610 has been set behind the Ethernet header 600.

FIG. 14 is a view showing a Tag VLAN packet format stipulated by IEEE802.1Q. When a value 8100 of a hexadecimal digit has been set to the type field 603 of the Ethernet  
15 header 60, it can be recognized that VLAN Tag 620 has been set behind the Ethernet header 600.

FIG. 15 is a view showing a MPLS (Multi-Protocol Label Switching) packet format stipulated by IETF RFC3032. When a value 8847 of a hexadecimal digit has been set to the type  
20 field 603 of the Ethernet header 60, it can be recognized that a Shim header 630 has been set behind the Ethernet header 600.

FIG. 16 is a view showing a packet format of RPR (Resilient Packet Ring) that is being standardized by the  
25 IEEE802.17 committee. The packet of RPR is constructed of

the RPR header 630, the Ethernet header 600 and the Header Checksum 631.

Next, the description will be made of an embodiment of the statistics information collecting processing in the apparatus of transmitting packets 1.

FIG. 11 is a flowchart showing an example of the statistics information collecting processing in the statistics information collecting processor 15.

With the reception (Step 5210) of the frame for header transfer 35 as a turning point, the statistics information collecting processor 15 starts the statistics information collecting processing. Next, the adder 153 of the statistics information collecting processor 15 sets the value Hn of a header number counter which the adder 153 holds to 1 (Step 5220) to next extract a header area 37-1 which is the first one from the head of the frame for header transfer 35 (Step 5230). Next, the header information is detected from the header area 37-1, whereby a flow of the packet to which the header has been imparted, for example, a pair of the source address and the destination address is judged (Step 5240). In this case, on the basis of packet input information 312 of the internal header 310 of the frame for header transfer 35, or a set point of the input port 371 set to the packet information processor 310, the statistics information collecting processor 15 is capable of identifying the type

of the line which has received a packet to which the header area 37-1 has been imparted. Therefore, the statistics information collecting processor 15 is capable of grasping the header format of the header area 37-1. Next, in the Step 5240, it is judged whether or not there already exists, on the statistics table 154, an entry 1543 concerning the aforementioned flow judged (Step 5250), and if no entry of the flow exists on the statistics table 154, the entry will be newly added (Step 5260). Next, refer to the packet information processor 370 imparted to the head of the header area 37 (Step 5270). Thereby, a number of bytes of the packet will be judged from the value of the packet length 373 set to the packet information processor 370, and a number of bytes of the flow or a number of bits will be added in the adder 153. At the same time, the number of packets of the flow will be added (Step 5280). After the termination of these processing, it is judged whether or not the value  $H_n$  of the header number counter coincides with the number  $N$  of header information multiplexed into the frame 35 (Step 5290). If the value  $H_n$  of the header number counter coincides with  $N$ , the processing will be completed (Step 5300). If the value  $H_n$  of the header number counter does not coincide with  $N$ , the value  $H_n$  of the header number counter will be added by 1 (Step 5310) to return to the Step 5230. Thereafter,

until the processing for the N-th header area 37-N is completed, the similar processing will be repeated.

Next, the description will be made of processing of renewing the search table 117 to be provided for the line  
5 card 11 by the statistics information collecting processor 15.

FIG. 17 is a flowchart showing an example of a search table renewal procedure in the statistics information collecting processor.

10 A processor 155 of the statistics information collecting processor 15 starts the analytical processing (Step 5410) to extract the flow targeted for table renewal from the statistics table 154 (Step 5410). Next, to a target to which the packet is allocated, that is, the extension  
15 function processors 14-1 to 14-m, flows obtained by extracting in the Step 5410 will be allocated (5420). Next, in accordance with allocation of flows for each packet allocation target determined in the Step 5420, a search table 117 will be generated (Step 5430). The statistics  
20 information collecting processor 15 will distribute the search table generated in the Step 5430 to the line card 11 (Step 5450). Thus, a series of processing will be completed (Step 5460).

The search table will be distributed to the line card  
25 11 by the statistics information collecting processor 15

through the switch 12 or the internal bus 16 in accordance with the internal packet transfer format shown in FIG. 7 as shown in FIG. 23.

FIG. 18 shows an example of algorithm in which flows  
5 are allocated to respective allocation targets in the Step 5420 of FIG. 17.

The processor 155 sorts flows targeted for table renewal in the order of the number of packets or the number of bytes (Step 5510). Next, the processor 155 allocates the  
10 flows to the allocation targets one by one in ascending order or in descending order of the flows sorted in the Step 5510 (Step 5520). In this case, it is assumed that the extension function processors 14-1 to 14-m corresponds to the allocation target numbers 1 to m respectively, and that the  
15 flows are allocated in the order of: from an allocation target number 1 to an allocation target number m. When the allocation target numbers up to the allocation target number m are allocated, the flows will be next allocated one by one in ascending order or in descending order of the flows  
20 obtained by sorting in the Step 5510 to the allocation target numbers m to 1 (Step 5530). The allocation processing in the Step 5520 and the Step 5530 will be repeated until all the flows are allocated (Step 5540, Step 5550).

In this respect, in the description using the  
25 flowcharts of Figs.17 and 18, in the renewal processing of

the search table, as the number of packets or the number of bytes for each flow for renewing the search table, there has been used the number of packets or the number of bytes which the apparatus for transmitting packets 1 has transmitted or received during a fixed time period, recorded on the statistics table shown in FIG. 5. As the number of packets or the number of bytes for each flow to be used for renewing the search table, it is also possible to use a predicted value for a number of packets or a number of bytes for each flow in future, calculated on the basis of the number of packets or the number of bytes for each flow which the apparatus for transmitting packets 1 collected in the past.

FIG. 24 shows an example of the structure of the statistics table to be used for calculating a predicted value for the number of packets or the number of bytes for each flow in the future. The statistics table shown in FIG. 24 is constructed so as to record statistics information collected for each time period  $t_1$  to  $t_0$ , time period  $t_2$  to  $t_1$  and time period  $t_3$  to  $t_2$  respectively like 1542-1, 1542-2 and 1542-3.

FIG. 25 is a view for explaining an example of a method of calculating a predicted value for the number of packets or the number of bytes for each flow in the future. Time  $t_0$  is a time when the collection of the statistics information has been started. Also, time  $t_3$  is the present time, and time

t4 is a time when the table is scheduled to be renewed next.  
In the example of FIG. 25, during time t0 to t3, the number  
of packets of a flow (192, 168, 10.5, 192, 168 and 20.2) is  
monotonously increasing. In other words, this shows that the  
5 number of packets of a flow (192, 168, 10.5, 192, 168, and  
20.2) which the apparatus of transmitting packets 1 has  
received during a time period (91-1) from time t0 to t1 is  
p1 to p0 (90-1), the number of packets of a flow (192, 168,  
10.5, 192, 168, and 20.2) which the apparatus of  
10 transmitting packets 1 has received during a time period  
(91-2) from time t1 to t2 is p2 to p1 (90-2), and the number  
of packets of a flow (192, 168, 10.5, 192, 168, and 20.2)  
which the apparatus of transmitting packets 1 has received  
during a time period (91-3) from time t3 to t2 is p3 to p2  
15 (90-3).

In this case, fluctuations in the number of packets during  
time t0 to t3 are approximated to a straight line 92.  
Further, there will be determined a straight line 93  
obtained by extrapolating the aforementioned straight line  
20 92 during time t3 to t4 (91-4). Thereby, it is possible to  
predict that the number of packets of a flow (192, 168, 10.5,  
192, 168 and 20.2) during time t3 to t4 is p4 to p3 (90-4).  
Here, as a method for predicting the amount of packets, the  
amount of packets has been predicted by approximating a  
25 change in the number of packets in the past to a straight

line to extrapolate the straight line to time  $t_4$ , but when fluctuations in the amount of packets are more complicated, various approximate curves or prediction technique can be used to perform statistical prediction.

5 Also, of amounts of packets sampled at some time in the past, it can be arbitrarily determined whether an amount of packets collected at which time should be used as the data. For example, it may be possible to perform statistical prediction through the use of only data collected during  
10 time  $t_0$  to  $t_2$  without the aid of an amount of packets collected at the present time  $t_3$ . For example, when an amount of packets counted at time  $t_1$  is extraordinarily large and is judged to be an abnormal value, it may be possible to predict an amount of packets at time  $t_4$  through the use of  
15 only data collected at time  $t_0$ ,  $t_2$  and  $t_3$ . Further, it may be also possible to perform load balancing on the basis of only the amount of packets measured currently without performing the statistical prediction.

The description will be made of an advantage wherein  
20 the statistics information is collected by the statistics information collecting processor which is an independent functional processor.

The advantage wherein the statistics information  
collecting processor 15 is made into an independent  
25 processor is to reduce the apparatus cost. When performing



normal packet transfer, the statistics information  
collecting processing is not always necessary in the  
apparatus of transferring packets. On the other hand, when  
performing high-functional processing such as load  
5 balancing, there arises the need to perform the statistics  
information collecting processing. In other words, in the  
apparatus of transmitting packets, it can be said that the  
statistics information collecting processing is an  
additional function. Therefore, the statistics information  
10 collecting processor is independently provided, whereby it  
becomes possible to provide the statistics information  
collecting processing only for the users who need, and to  
reduce the apparatus cost.

Further, when changing statistics information to be  
15 collected among others, only the statistics information  
collecting procedure is changed (change of software for  
control, replacement of functional module constituting the  
statistics information collecting processor, and the like),  
whereby it is possible to cope with.

20 In this respect, the apparatus of transmitting  
packets is provided with a plurality of statistics  
information collecting processors, and the same frame for  
header transfer is transferred from the line card to the  
plurality of statistics information collecting processors,  
25 whereby it is possible to make the statistics information

collecting processor redundant, and to improve the processing capacity due to load balancing of the statistics information collecting processing and to improve the fault tolerance.

5           In the embodiments to this point, the description has been made of a method for renewing the search table 117 after the statistics information collecting processing in the apparatus of transmitting packets 1 is performed by the statistics information collecting processor 15.

10

#### Second Embodiment

          It is also possible to renew the search table 117 after performing the collecting processing of statistics information in the line card 11. Hereinafter, the  
15       description will be made of an embodiment of a method of renewing the search table 117 after performing the collecting processing of statistics information in the line card 11.

          The description will be made of a method of collecting  
20       statistics information in the line card 11.

          FIG. 19 is a view showing an example of the structure for collecting statistics information in the line card 11. A packet processing engine 116 reads out flow information to be collected from the header area of a received packet.  
25       Also, although not shown, the packet processing engine 116

is equipped with a packet counter, and has both a function for analyzing header information and a function for counting an amount of packets. Also, it counts the byte length and bit length of the received packet. An adder 124 holds a  
5 register for adding a number of packets, a number of bytes and a number of bits for each flow that the packet processing engine 116 extracts. The number of packets, the number of bytes and the number of bits that have been written on the register of the adder 124 are written every a fixed time  
10 period on a statistics table 123 having the same format as the statistics table 154 shown in FIG. 5, provided at the statistics information collecting processor 15.

The renewal processing of the search table may be performed by the line card 11. Also, the renewal processing  
15 of the search table may be performed by the control processor 13 by transferring the statistics information collected by the line card 11 to the control processor 13.

When performing the renewal processing of the search table in the line card 11, the processor 121 performs the  
20 processing in accordance with the procedure of the flowcharts shown in Figs.17 and 18.

When the renewal processing of the search table is performed by the line card, since the processing is performed within the line card 11, the allocation target of

the load balancing will be renewed with only the flow of the packet received by the same line card as the target.

When the renewal processing of the search table is performed by the control processor 13, as in the case of performing the renewal processing of the search table in the  
5   aforementioned statistics information collecting processor, the allocation target of load balancing will be renewed with flows of all the packets received by the apparatus of transmitting packets 1 as the targets.

10   When the renewal processing of the search table is performed by the control processor 13, the control processor 13 reads out the statistics table 123 of the line card 11 through the internal bus 16 every a fixed time period, for example, every 15 minutes, whereby the statistics  
15   information collected by each line card will be summarized.

FIG. 20 is a view showing an example of the structure of the control processor 13. The control processor 13 is constructed of: a processor 131; a memory 132; an internal bus interface 133, which is an interface with the internal  
20   bus 16; and a LAN controller 134, which is an interface with the control device of the apparatus of transmitting packets 1.

The processor 131 of the control processor 13 generates, on the memory 132, a table for integrating the  
25   statistics information transferred from each line card 11.

The processor 131 performs the processing similar to the procedure of the flowchart of FIG. 17 on the basis of the table generated on the aforementioned memory 132 to thereby renew the content of the search table 117 of the line card

5 11. The control processor 13 performs the processing similar to the method of transferring the table from the aforementioned statistics information collecting processor 15 to the line card 11 to thereby transfer the content of the search table 117 renewed to the line card 11.